HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

JC12 Reo CT/PTC 1 5 APR 2005

LATTORNEY S DOCKET NUMBER

## TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371

3194.1025-009

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

INTERNATIONAL APPLICATION NO. PCT/US03/33006

INTERNATIONAL FILING DATE 16 October 2003 (16.10.2003)

EARLIEST PRIORITY DATE CLAIMED 17 October 2002 (17.10.2002)

TITLE OF INVENTION

Method for Purifying Carbon Dioxide

APPLICANT(S) FOR DO/EO/US

Daniel Alvarez, Jr., Jeffrey J. Spiegelman and Russell J. Holmes

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

- . [X] This is a FIRST submission of items concerning a submission under 35 U.S.C. 371.
- 2. [ ] This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.
- 3. [ ] This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
- 4. [X] The US has been elected (Article 31).
- 5. [X] A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. [ ] is attached hereto (required only if not communicated by the International Bureau).
  - b. [] has been communicated by the International Bureau.
  - c. [X] is not required, as the application was filed in the United States Receiving Office (RO/US).
- 6. [ ] An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
  - is attached hereto.
  - b. [] has been previously submitted under 35 U.S.C. 154(d)(4).
- 7. [X] Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. [] are attached hereto (required only if not communicated by the International Bureau).
  - b. [] have been communicated by the International Bureau.
  - c. [] have not been made; however, the time limit for making such amendments has NOT expired.
  - d. [X] have not been made and will not be made.
- 8. [ ] An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).
- 9. [ ] An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
- 10. [ ] An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

## Items 11 to 20 below concern document(s) or information included:

- 11. [X] An Information Disclosure Statement under 37 CFR 1.97 and 1.98 (3 pgs.), PTO-1449 (2 pgs.), copies of References B1 and B2 and copy of EPO International Search Report.
- 12. [ ] An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
- 13. [X] A preliminary amendment (6 pgs.).
- 14. [ ] An Application Data Sheet under 37 CFR 1.76.
- 15. [ ] A substitute specification.
- 16. [ ] A power of attorney and/or change of address letter.
- 17. [ ] A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 1.825.
- 18. [ ] A second copy of the published International Application under 35 U.S.C. 154(d)(4).
- 19. [ ] A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
- 20. [ ] Other items or information:

JC12 Rec'd PCT/PTC 1 5 APR 2005

U.S. APPLICATION N	75315	R 1.5)	NTERNATIONAL APPLICATION PCT/US03/33006	N NO.	ATTORNE - 3194.1025-	DOCKET NUMBER	
			21, 0000,000				
The follow	ing fees have bee	an cubmi	tted	····		CALCULATIONS	PTO USE ONLY
The following fees have been submitted  21. [X] Basic national fee						\$300.00	PIO USE ONLY
21. [X] Basic national fee       \$300.00         22. [X] Examination fee						\$300.00	
If International	preliminary exam	ination r	eport prepared by USP	ΓO and all			
claims satisfied provisions of PCT Article 33(1)-(4)							
All other situations \$200.00  23. [X] Search fee						\$200.00	
Search fee (37 C	CFR 1.445(a)(2))	has been	paid on the internation	al			
application to the USPTO as an International Searching Authority							
International Search Report prepared and provided to the Office						\$400.00	
TOTAL OF 21, 22 and 23 =						\$900.00	
[ ] Additional fee for specification and drawings filed in paper over 100 sheets (excluding							
sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.							
Total Sheets	Extra sheets	trachetc I		h additional 50 or fraction d up to a whole number)			
- 100 =	/50 =				x \$250.00	\$0.00	
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(h)).						\$	
CLAIMS	NUMBER	NUMBER FILED NUMBER EXTRA			RATE		
Total claims		- 20 =	0	x	\$50.00	\$0.00	
Independent claims 2 - 3 =			0	x \$200.00		\$0.00	
MULTIPLE DEPENDENT CLAIM(S) (if applicable) + \$360.00						\$	
TOTAL OF ABOVE CALCULATIONS =						\$900.00	
[ ] Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2.						\$	
SUBTOTAL =						\$900.00	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).						\$ .	
TOTAL NATIONAL FEE =						\$900.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +						\$	
TOTAL FEES ENCLOSED =						\$900.00	
						Amount to be refunded:	\$
·						Amount to be charged:	\$
			0.00 to cover the above				
Please charge my Deposit Account No. 08-0380 in the amount of \$[ ] to cover the above fees. A duplicate copy of this sheet is enclosed.							
c. [X] The in th	Commissioner is is matter to Depo	hereby a	authorized to charge any unt No. 08-0380. A dup	y deficiency o plicate copy o	or credit any overp of this sheet is enc	ayment in the fees to losed.	that may be due
NOTE: Where a or (b)) must be f	n appropriate ti iled and granted	me limit l to resto	under 37 CFR 1.495 bre the International A	has not been pplication t	met, a petition to o pending status.	revive (37 CFR 1	.137(a)
SEND ALL CORRES	SPONDENCE TO:			1/1/100	Man and	$\mathscr{V}$	
Alice O. Carroll, Esq.						7 RE	
Customer No. 021005							
530 Virginia Road						II	
P.O. Box 9133 Concord, MA 01742 0133							İ
						MIMDED	
					REGISTRATION	NUMBEK	j

## IN THE UNITED STATES RECEIVING OFFICE (RO/US) Designated/Elected Office (DO/EO/US)

U.S. National Stage of

International Application No.: PCT/US03/33006

International Filing Date:

16 October 2003

Earliest Priority Date Claimed:

17 October 2002

Applicants:

Daniel Alvarez, Jr., Jeffrey J. Spiegelman and

Russell J. Holmes

Title:

Method for Purifying Carbon Dioxide

Attorney's Docket No.:

3194.1025-009

Date: 15 APRIL 2005

EXPRESS MAIL LABEL NO. EV 214888214115